CONFIRMATION UNDER THE FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) FOR DETERMINING US PERSON STATUS [Mandatory for all investors (Guardian in case of minor) and Joint holder(s)]

To **Harihar Securities Private Limited** 268A, B B Gangly Street,4th Floor, Kolkata-700012 CIN: U51109WB1997PTC083137 Contact: 033-22624001/02 Email Id: info@hariharsecurities.com	UCC: DP ID(IN304029) Client Id:
	Client Name:
	Address:

FATCA DECLARATION FOR INDIVIDUAL CLIENTS

Please provide a response common to all holders in the folio(s). If the answer to any one of the question for any one of the holder is "Yes", please tick on "Yes" against the question

FOR INDIVIDUAL INVESTORS (INDIVIDUAL / NRI / HUF / ON BEHALF OF MINOR / PROPRIETORSHIP FIRM)

FATCA Compliance Confirmation Indicia	"Yes" or "No" please(✓)	
Are you a resident or Citizen of the United States?	☐ **Yes**	☐ **No**
Is US your place of birth?	☐ **Yes**	☐ **No**
Do you have a US telephone number in the capacity of a resident / citizen of US?	☐ **Yes**	☐ **No**
Do you hold any residence / mailing address / 'C/o addresses / hold mail address / PO Box address in the US?	☐ **Yes**	☐ **No**
Is your POA holder based out of US or hold US residence / citizenship?	☐ **Yes**	☐ **No**
Do you pay tax in the US?	☐ **Yes**	☐ **No**
Do you hold an Identification Number or any identification that indicates US residence / citizenship?	☐ **Yes**	☐ **No**

In case of individual client who is a resident or Citizen of the United States:

Spouse Name : _____

Nationality: _____   **Place of Birth:** _____

Tax Identification Number (TIN) allotted by Tax resident country: _____

TIN Issuing country : _____

Foreign Address : _____

_____

Authorized Signatory 1 / 1st Applicant	**Authorized Signatory 2 /2nd Applicant**	**Authorized Signatory 3 / 3rd Applicant**

Date:_____   **Place:**_____

CONFIRMATION UNDER THE FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) FOR DETERMINING US PERSON STATUS [Self Certification for Entities (non- individuals who are not listed/not an Indian Financial Institution)]

To **Harihar Securities Private Limited** **268A, B B Ganguly Street, 4th Floor, Kolkata-700012** **CIN: U51109WB1997PTC083137** **Contact: 033- 22624001/02** **Email Id: info@hariharsecurities.com**	**UCC :** **DP ID(IN304029) Client Id:**
	Client Name:
	Address:

I/we hereby declare that

- The account holder is not a Government body/International Organization/ listed company on recognized stock exchange
- The account holder is not tax resident of any country other than India
- The account holder is not an Indian Financial Institution as defined under Rule 114F(3) of the Income Tax Rules, 1962 as amended
- The substantial owners or controlling persons in the entity or chain of ownership is/are
  - not resident for tax purpose in any country outside India
  - are Indian citizen(s)

Under penalty of perjury, I/we further certify that:

- I/We understand that the Harihar Securities Private Limited is relying on this information for the purpose of determining the status of the applicant named above in compliance with FATCA/CRS. Harihar Securities Private Limited is not able to offer any tax advice on FATCA/CRS or its impact on the applicant. I/we shall seek advice from professional tax advisor for any tax questions.
- I/We agree to submit a new form within 30 days if any information or certification on this form becomes Incorrect.
- I/We agree that as may be required by domestic regulators/tax authorities the Harihar Securities Private Limited may also be required to report, reportable details to CBDT or close or suspend my account.
- I/We certify that I/we provide the information on this form and to the best of my/our knowledge and belief the certification is true, correct, and complete including the taxpayer identification number of the applicant.

Signature	
Names and designation of Signatories	
Name of Account Holder	
Date	
PAN Number of Account Holder	

(Company Seal/Stamp to be affixed with Signature)